 Administrivia

- A reminder: Please do not reboot the machines in this room (HAS 340). People depend on their being available for remote access.

 Operating System Functionality

- Provide a “virtual machine”:
  - Filesystem abstraction — files, directories, ownership, access rights, etc.
  - Process abstraction — “process” is a name for one of a collection of “things happening at the same time” (in effect if not in fact), including:
    - In batch systems, user “jobs”, plus input/output spooling.
    - In timesharing system, interactive users.
    - In PC o/s, concurrently-executing tasks.
  Here too, idea of ownership / access rights.

- Manage resources (probably on behalf of multiple users/applications):
  - Memory.
  - CPU cycles (one or more CPUs).
  - I/O devices.
Overview of Hardware

- Simplified view of hardware (as it appears to programmers) — processor(s), memory, I/O devices, bus.
- (See figure, p. 21.)
- Next few sections talk about each component — what it does (from user’s point of view) and low-level interface to software.

Processors

- “Instruction set” of primitive operations — load/store, arithmetic/logical operations, control flow.
- Basic CPU cycle — fetch instruction, decode, execute.
- Registers — “local memory” for processor; general-purpose registers for arithmetic and other operations, special registers (program counter, stack pointer, program status word (PSW)).
- Now consider what additional features would make it easier to write an operating system . . .
Interrupt Mechanism

- Very useful to have a way to interrupt current processing when an unexpected or don’t-know-when event happens — error occurs (e.g., invalid operation), I/O operation completes.
- On interrupt, goal is to save enough of current state to allow us to restart current activity later:
  - Save old value of program counter.
  - Disable interrupts.
  - Transfer control to fixed location (“interrupt handler” or “interrupt vector”) — normally o/s code that saves other registers, re-enables interrupts, decides what to do next, etc.
- Usually have a TRAP instruction for generating interrupt.
- Could you write an o/s without this?

Dual-Mode Operation, Privileged Instructions

- Useful to have mechanism to keep application programs from doing things that should be reserved for o/s.
- Usual approach — in hardware, define two modes for processor (supervisor and user), privileged instructions.
  - Privileged instructions — things only o/s should do, e.g., enable/disable interrupts.
  - Bit in PSW indicates supervisor mode (o/s only, privileged instructions okay) or user mode (application programs, privileged instructions not allowed).
  - When to switch modes? when o/s starts application program, when application program requests o/s services, on error.
- Could you write an o/s without this?
Memory Protection

- Very useful to have a way to give each process (including o/s) its own variables that other processes can’t alter.

- Usual approach — provide a hardware mechanism such that attempting to access memory out of ranges generates exception/interrupt; several ways, including:
  - Limit each process to a range of memory locations; hold starting and ending addresses in special registers.
  - Partition memory into blocks, give each block a numeric key, give each process a key, and only allow processes to access blocks if keys match.

- Could you write an o/s without this?

Timer

- Useful to have a way to set a timer / “alarm clock” — e.g., to get control back if application program enters infinite loop.

- Usual approach — hardware features that tracks real time and can be set to interrupt CPU.
System Calls

• Recall — some things can/should only be done by o/s (e.g., I/O), but application programs need to be able to request them.

• How to make this work — “system call” (good discussion on pp. 45–46):
  – Library routine (running in user mode) sets up parameters and issues TRAP instruction or similar — causing an interrupt.
  – Interrupt handler (running in supervisor mode) processes system call using parameters set up by library routine.
  – Control returns to library routine in user mode.

• Typical services provided — creating processes, creating files and directories, etc., etc. — see tables in textbook (Unix on p. 47, Windows on p. 55).

Shell

• History — early batch systems had to interpret “control cards”; modern equivalent is to interpret “commands” (usually interactive).

• Not technically part of o/s, but important and related.

• Typical shell functionality:
  – Invocation of programs (optionally in background).
  – Input/output redirection.
  – Program-to-program connections (pipes).
  – “Wildcard” capability.
  – Scripting capability.

• Examples — MS-DOS command.com; Unix sh, bash, csh, tcsh, ksh, zsh, ...
Minute Essay

- I once had a learning experience about “how DOS is different from a real o/s”. Summary version: A program using pointers (possibly uninitialized) caused the whole machine to lock up, so thoroughly that the only recovery was to power-cycle.

What do you think went wrong?

Minute Essay Answer

- The program changed memory at the addresses pointed to by the uninitialized pointers — and this memory was being used by the o/s, possibly to store something related to interrupt handling. A “real” o/s wouldn’t allow this!

(Then again, the version of MS-DOS in question was supposedly written to run on hardware that didn’t provide memory protection, so maybe it’s not DOS’s fault.)